

# CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Print Type)

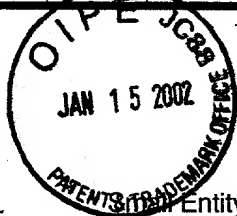
Donna Macedo

Signature

*D. Macedo*

Date

11/5/01



TRANSMITTAL

COPY OF PAPERS  
ORIGINALLY FILED

☒ Small Entity

☐ Large Entity

Application Number	09/942,032
Confirmation Number	7285
Filing Date	August 28, 2001
First Named Inventor	Thomas T. Yamashita
Examiner	Unassigned
Group Art	3643
Attorney Docket No.	YAMA001CON9

## ENCLOSED:

☐ Amendment Under Rule

☐ 37 CFR §

☐ Pages

Claims

No. of claims as  
filed or after  
amendment

Most claims  
previously  
paid for

# Extra Claims

Rate

Totals

Total

13

20

0

\$

-

Independent

1

3

0

\$

-

Multiple

Total Extra Claim Fees

\$

-

Fee

Applicants Petition for an  
Extension of time from

to

A    month extension was previously filed  
and paid for thereby reducing the basic  
fee

## Response to File Missing Parts (with copy of formalities letter)

☒ Filing Fee

Fee \$370.00

☒ Executed Declaration

Pages

2

Surcharge Fee \$65.00

☒ Other Preliminary Amendment

Fee

Fee

Fee

Fee

Subtotal \$435.00

## Information Disclosure Statement

☒ PTO Form 1449

Pages

2

☐ Copies of Cited References

☐ Other

Fee

Subtotal \$ -

## Response to Notice to Comply (with copy of Notice to Comply)

☐ Sequence Listing Certification

☐ Paper Copy of Sequence Listing

Pages

☐ Diskette in computer-readable format

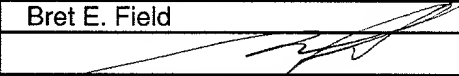
☐ Other

Fee

<input type="checkbox"/> Terminal Disclaimer		Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group		
<input type="checkbox"/> Notice of Appeal	Pages _____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages _____	Fee _____
<input type="checkbox"/> Reply Brief	Pages _____	Fee \$ -
		Subtotal \$ -
<input type="checkbox"/> Other Enclosures and/or Fees _____		Fee _____
<input type="checkbox"/> Change of Correspondence Address		<b>COPY OF PAPERS ORIGINALLY FILED</b>
<input checked="" type="checkbox"/> Return Receipt Postcard		<b>TOTAL FEES \$435.00</b>

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED**

Name (Print/Type)	Bret E. Field	Registration No.	37,620
Signature			Date 11-02-2001
Firm Name	Bozicevic, Field & Francis LLP	Address	200 Middlefield Road, Suite 200
City	Menlo Park	State	California
Telephone - Direct Dial	650-327-3400	zip	94025
		Facsimile	650-327-3231

Application No. 09/942,032 Attorney Docket No. YAMA001CON9 Page 2 of 2

A4

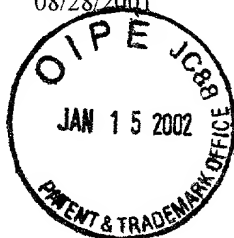


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/942,032	08/28/2001	Thomas T. Yamashita	YAMA001CON9

Bret E. Field  
Bozicevic, Field and Francis LLP  
Suite 200  
200 Middlefield Road  
Menlo Park, CA 94025



COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 7285

## FORMALITIES LETTER



\*OC000000006861598\*

Date Mailed: 10/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$756.
  - \$486 for 27 total claims over 20.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1596.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

A copy of this notice **MUST** be returned with the reply.



---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

094703 01602